



Behavioral Health Updates Phase 3

F659– Qualified Persons

- ▶ §483.21(b)(3) Comprehensive Care Plans
- ▶ The services provided or arranged by the facility, as outlined by the comprehensive care plan, must—
 - ▶ (ii) Be provided by qualified persons in accordance with each resident's written plan of care.
 - ▶ (iii) Be culturally-competent and trauma-informed.

Enforcement F659

The facility failed to provide the services identified in the comprehensive care plan by qualified persons

F699–Trauma Informed Care

§483.25(m) Trauma–informed care

The facility must ensure that residents who are trauma survivors receive culturally competent, trauma–informed care in accordance with professional standards of practice and accounting for residents’ experiences and preferences in order to eliminate or mitigate triggers that may cause re–traumatization of the resident.

Enforcement F699

The facility failed to do any one of the following:

1. Identify a resident's cultural preferences;
2. Identify a resident's past history of trauma, and/or triggers which cause re-traumatization;

Trauma Informed Care

According to the DSM-5, Mental disorders are defined in relation to cultural, social, and familial norms and values... Understanding the cultural context of illness experience is essential for effective diagnostic assessment and clinical management.

Trauma Informed Care



F741 – Sufficient/Competent Staff– Behavioral Health Needs

- ▶ §483.40(a) The facility must have sufficient staff who provide direct services to residents with the appropriate competencies and skills sets to provide nursing and related services...as determined by resident assessments and individual plans of care and considering the number, acuity and diagnoses of the facility's resident population in accordance with §483.70(e).
- ▶ §483.40(a)(1) Caring for residents with mental and psychosocial disorders, as well as residents with a history of trauma and/or post-traumatic stress disorder, that have been identified in the facility assessment conducted pursuant to §483.70(e)

Enforcement F741

- ▶ Rule out underlying causes of behavior health needs
- ▶ Identify competencies and skill sets necessary to care for residents with behavioral health needs
- ▶ Provide sufficient and competent staff
- ▶ Demonstrate reasonable attempts to secure professional behavioral health services
- ▶ Utilize non-pharmacological approaches
- ▶ Monitor and assess behavioral health needs
- ▶ Document care in the medical record

F949– Behavioral Health Training

§483.95(i) Behavioral health.

A facility must provide behavioral health training consistent with the requirements at §483.40 and as determined by the facility assessment at §483.70(e).

Enforcement F949

- ▶ Check staff competencies with training
- ▶ Interact with residents as trained
- ▶ Ensure temporary staff are trained to interact with residents with behavioral health needs
- ▶ Does training include resident specific needs
- ▶ Does the facility monitor who has been to what training
- ▶ Does the facility monitor and revise the training program as needed
- ▶ Is the training based on the Facility Assessment

Survey Tools

- ▶ CMS–20062: Sufficient and Competent Staffing
- ▶ CMS–20067: Behavior and Emotional Status
- ▶ CMS–20133: Dementia Care
- ▶ Psychosocial Outcome Severity Guide